

Improved Approximation Algorithms for Bounded-Degree Local Hamiltonians

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Joint work with

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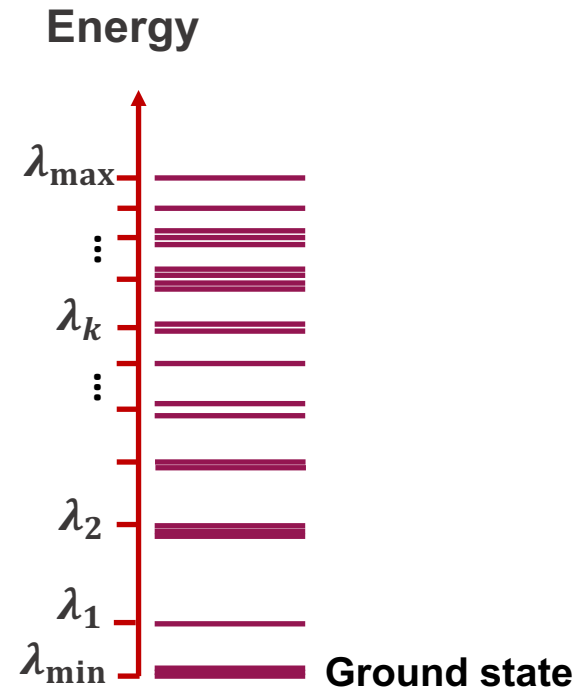
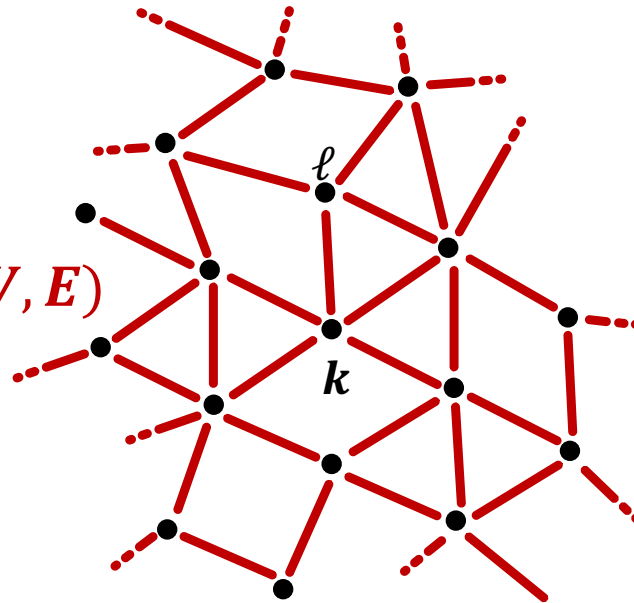
David Gosset (IQC Waterloo)

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Problem Statement and Background

Interacting Quantum Systems

Interaction graph $G = (V, E)$
 $|V| = n$ qubits
 $|E| = m$ interactions



Local Hamiltonians $H = \sum_{\{k,\ell\} \in E} h_{k\ell}$

Degree- d interaction graph

Ground state of H captures the low-temperature physics

**Believed to require $\exp(n)$ resources
to compute in the worst case**

1 Worst-Case Complexity and Rigorous Algorithms

2 Heuristic Quantum Algorithms

Worst-Case Complexity

- Although **ground state energy** $= \lambda_{\min}(H)$,
more convenient to consider estimating

$$\lambda_{\max}(H) = \max_{\psi} \langle \psi | H | \psi \rangle$$

Equivalent because

$$\lambda_{\min}(H) = -\lambda_{\max}(-H)$$

- QMA-hard to estimate $\lambda_{\max}(H)$ with $\frac{1}{\text{poly}(n)}$ additive error

[Kitaev 1999, Kempe, Kitaev, Regev 2004]

- PCP Theorem: For some constant $0 < \epsilon < 1$,
remains **NP-hard** to estimate λ_{\max} within additive error $\epsilon \cdot m$

[Arora, Lund, Motwani, Sudan, Szegedy '98,
Arora, Safra '98, Dinur '07]

QMA-hard? qPCP conjecture

Worst-Case Complexity

Approximation algorithms: compute estimate $\hat{\lambda} \leq \lambda_{\max}$ s.t.

$$r = \hat{\lambda} / \lambda_{\max}$$

is as large as possible.

What is the largest approximation ratio r achievable with efficient algorithms?

Known Algorithms e.g. for

- **Heisenberg-like interactions:** $h_{ij} = I - X_i X_j - Y_i Y_j - Z_i Z_j$
[Gharibian, Parekh 2019, Anshu, Gosset, Morenz Korol 2020]
- **Positive semidefinite:** $h_{ij} \geq 0$
[Gharibian, Kempe 2012]
- **Traceless:** $\text{Tr}[h_{ij}] = 0$
[Bravyi, Gosset, König, Temme 2019]
- **Dense or Planar graphs**
[Bansal, Bravyi, Terhal 2009, Gharibian, Kempe 2012, Brandão, Harrow 2014]

Worst-Case Complexity

Most of these algorithms compute a quantum state $|v\rangle$ that

$$|v\rangle = |v_1\rangle \otimes |v_2\rangle \otimes \cdots \otimes |v_n\rangle$$

or

$|v\rangle =$ tensor product of few-qubit states

But ground states may be highly **entangled**,

**What is the structure of states
with high approximation ratio?**

Worst-Case Complexity

**What is the structure of states
with high approximation ratio?**

**For high degree graphs,
product states provide good approximations**

Monogamy of Entanglement
Mean-field Approximation

[Brandão, Harrow 2014]

For Hamiltonians on **degree- d graph with n qubits and m interactions, there exists $|v\rangle = |v_1\rangle \otimes |v_2\rangle \otimes \cdots \otimes |v_n\rangle$ s.t.**

$$\lambda_{\max}(H) - \langle v|H|v\rangle \leq \mathcal{O}\left(\frac{m}{d^{1/3}}\right)$$

This work:

Extensive improvement over product states **for bounded-degree graphs** using shallow (low-depth) quantum circuits

1 Worst-Case Complexity and Rigorous Algorithms

2 Heuristic Quantum Algorithms

- Many **heuristic** classical or quantum algorithms for estimating ground state energy
- Ground states could be highly **entangled**
Potential advantage in using **quantum computers**
- E.g. variationally optimize energy over output states of **shallow (low-depth)** quantum circuits

$$|\psi(\theta)\rangle = U(\theta)|0^n\rangle$$

$\langle\psi(\theta)|H|\psi(\theta)\rangle$
Measure with quantum computer

$\min_{\theta} \langle\psi(\theta)|H|\psi(\theta)\rangle$
Optimize with classical computer

- Many **heuristic** classical or quantum algorithms for estimating ground state energy
- Ground states could be highly **entangled**
Potential advantage in using **quantum computers**
- E.g. variationally optimize energy over output states of **shallow (low-depth)** quantum circuits
 - Can be implemented on **small** quantum computers
 - Some known **limitations** in efficacy

[McClean et al 2018]

[Bravyi, Kliesch, Koenig, Tang 2020]

[Farhi, Gamarnik, Gutmann 2020]

[Bravyi, Gosset, Movassagh 2021]

Rigorous bounds on the performance of shallow quantum circuits for estimating ground energy?

Recap

Many known **rigorous** algorithms output **product states**.

*How can we **improve** them by applying **quantum circuits**?*

Many **near-term** algorithms use **shallow** quantum circuits

*How can we **rigorously** bound their **performance**?*

Main Results

Result: Improving product state approx.

Define variance of a state $|v\rangle$ by

$$\text{Var}_v(H)^2 = \langle v|H^2|v\rangle - \langle v|H|v\rangle^2$$

Given a degree- d Hamiltonian H and a product state $|v\rangle$, we can **efficiently** compute a **depth- $(d + 1)$** quantum circuit U such that the state $|\psi\rangle = U|v\rangle$ satisfies

$$\langle \psi|H|\psi\rangle \geq \langle v|H|v\rangle + \Omega\left(\frac{\text{Var}_v(H)^2}{d^2 m}\right)$$

- An improvement of $\Omega(m)$ in estimated energy when

$$\text{Var}_v(H) = \Omega(m) \text{ and } d = \mathbf{O}(1).$$

- No improvement when $|v\rangle$ is an eigenstate of Hamiltonian (e.g. purely classical case)

Proof Idea of 1st Result

Choice of circuit U for state $|v\rangle = |v_1\rangle \otimes |v_2\rangle \otimes \cdots \otimes |v_n\rangle$

$$U(\theta) = \bigotimes_{\{i,j\} \in E} e^{i\theta_{ij} P_i P_j} = e^{i \sum_{\{i,j\} \in E} \theta_{ij} P_i P_j}$$

$$\|P_i\| \leq 1, \quad \langle v_i | P_i | v_i \rangle = 0 \quad \forall i \in V$$

- **Generalizes level-1 QAOA** $P_i = e^{i\beta \sum_{k \in V} X_k} Z_k e^{-i\beta \sum_{k \in V} X_k}$
- **Locally & slightly rotates** $|v_i\rangle|v_j\rangle$ towards the ground space

Example: Antiferromagnetic Heisenberg Interactions

[Anshu, Gosset, Morenz Korol 2020]

$$H = \sum_{\{i,j\} \in E} w_{ij} h_{ij}$$

$$h_{ij} = \frac{1}{4} (I - X_i X_j - Y_i Y_j - Z_i Z_j) = |\Psi_{ij}\rangle \langle \Psi_{ij}|$$

$$|\Psi_{ij}\rangle = \frac{1}{\sqrt{2}} (|0\rangle_i |1\rangle_j - |1\rangle_i |0\rangle_j)$$

$$e^{-i\theta_{ij} X_i Y_j} |0\rangle_i |1\rangle_j = \cos(\theta_{ij}) |0\rangle_i |1\rangle_j - \sin(\theta_{ij}) |1\rangle_i |0\rangle_j$$

Bounding improvement in energy

$$U(\boldsymbol{\theta}) = \bigotimes_{\{i,j\} \in E} e^{i\theta_{ij}P_iP_j} = e^{i \sum_{\{i,j\} \in E} \theta_{ij}P_iP_j}$$

$$|\boldsymbol{\psi}\rangle = U(\boldsymbol{\theta})|\boldsymbol{v}\rangle$$

$$\langle \boldsymbol{\psi} | \mathbf{h}_{ij} | \boldsymbol{\psi} \rangle = \langle \boldsymbol{v} | U(\boldsymbol{\theta})^\dagger \mathbf{h}_{ij} U(\boldsymbol{\theta}) | \boldsymbol{v} \rangle$$

$$= \langle \boldsymbol{v} | \mathbf{h}_{ij} | \boldsymbol{v} \rangle - i \theta_{ij} \langle \boldsymbol{v} | [P_i P_j, \mathbf{h}_{ij}] | \boldsymbol{v} \rangle + \mathbf{Err} \quad \langle \boldsymbol{v}_i | P_i | \boldsymbol{v}_i \rangle = 0$$


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$$\theta_{k\ell} = \theta_0 \cdot \text{sign}(-i \langle \boldsymbol{v} | [P_k P_\ell, \boldsymbol{h}_{ij}] | \boldsymbol{v} \rangle)$$

Bounding improvement in energy

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$$= \langle v | \mathbf{h}_{ij} | v \rangle - i \theta_{ij} \langle v | [P_i P_j, \mathbf{h}_{ij}] | v \rangle + \mathbf{Err} \quad \langle v_i | P_i | v_i \rangle = 0$$


$$|\mathbf{Err}| \leq O(\theta_0^2 d)$$

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$$\geq \langle \boldsymbol{v} | \boldsymbol{h}_{ij} | \boldsymbol{v} \rangle + \theta_0 |\langle \boldsymbol{v} | [\boldsymbol{P}_i \boldsymbol{P}_j, \boldsymbol{h}_{ij}] | \boldsymbol{v} \rangle| - \Omega(\theta_0^2 \boldsymbol{d})$$

Bounding improvement in energy

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$$\geq \langle v | \mathbf{h}_{ij} | v \rangle + \theta_0 |\langle v | [P_i P_j, \mathbf{h}_{ij}] | v \rangle| - \Omega(\theta_0^2 d)$$

There are choices of $\{P_i\}$ such that for $\theta_0 \leq O(1/d)$,

$$\langle \boldsymbol{\psi} | H | \boldsymbol{\psi} \rangle \geq \langle v | H | v \rangle + \Omega\left(\frac{\text{Var}_v(H)^2}{d^2 m}\right)$$

Extensions and Tightness

Result: locally optimal states & tightness

Improved bound:

A product state $|v\rangle$ is **locally optimal** if for any **single-qubit operator** Q ,

$$\frac{d}{d\phi} \langle v | e^{-i\phi Q} H e^{i\phi Q} | v \rangle = 0 \quad \text{at} \quad \phi = 0$$

For locally optimal states,

$$\langle \psi | H | \psi \rangle \geq \langle v | H | v \rangle + \Omega \left(\frac{\text{Var}_v(H)^2}{d m} \right)$$

Tightness:

For simple Hamiltonians e.g. $h_{ij} = Z_i + Z_j$ and

$$|v\rangle = (\cos(\theta) |0\rangle - \sin(\theta) |1\rangle)^{\otimes n}$$

We have

$$\lambda_{\max} - \langle v | H | v \rangle \leq O \left(\frac{\text{Var}_v(H)^2}{d^2 m} \right)$$

Result: k -local Hamiltonians

Improvement for k -local Hamiltonians



Given a degree- d k -local Hamiltonian H and a product state $|v\rangle$, we can efficiently compute a shallow quantum circuit U such that the state $|\psi\rangle = U|v\rangle$ satisfies

$$\langle\psi|H|\psi\rangle \geq \langle v|H|v\rangle + \Omega\left(\frac{\text{Var}_v(H)^2}{2^{O(k)} d^4 m}\right)$$

Result: Improving entangled states

Let $|v\rangle = W|0^n\rangle$ where W is a quantum circuit of **depth D** .

We can **efficiently** compute a quantum circuit U such that the state $|\psi\rangle = U|v\rangle$ satisfies

↓
Lightcone ℓ

$$\langle\psi|H|\psi\rangle \geq \langle v|H|v\rangle + \Omega\left(\frac{\text{Var}_v(H)^2}{\boxed{2^{O(D)}}d^2 m}\right)$$

↓ ℓ^{10}

- The circuit U is not constant-depth anymore
- The bound extends to when $|\psi\rangle$ is the **unique ground state** of some ℓ -local **gapped** Hamiltonian

Generic Performance and Comparison with Local Classical Algorithms

Result: Improvement for random states

Write H in terms of Pauli operators $\sigma_1, \sigma_2, \sigma_3$, and $\sigma_0 = I$:

$$H = \sum_{\{i,j\} \in E} \sum_{x,y} f_{xy}^{ij} \sigma_x^i \otimes \sigma_y^j$$

Define

$$\text{quad}(H) = \sum_{\{i,j\} \in E} \sum_{x>0,y>0} \left(f_{xy}^{ij} \right)^2$$

There is an **efficient randomized** algorithm which computes a **depth- $(d + 1)$** quantum circuit U such that $|\psi\rangle = U|v\rangle$ satisfies

$$\mathbb{E}_v \langle \psi | H | \psi \rangle \geq \mathbb{E}_v \langle v | H | v \rangle + \Omega \left(\frac{\text{quad}(H)^2}{d m} \right)$$

For triangle-free graphs, we have

$$\mathbb{E}_v \langle \psi | H | \psi \rangle \geq \mathbb{E}_v \langle v | H | v \rangle + \Omega \left(\frac{\text{quad}(H)}{\sqrt{d}} \right)$$

Result: Local Classical Algorithm

For triangle free graphs, there is an **efficient randomized** algorithm that computes the product state $|v\rangle$ satisfying

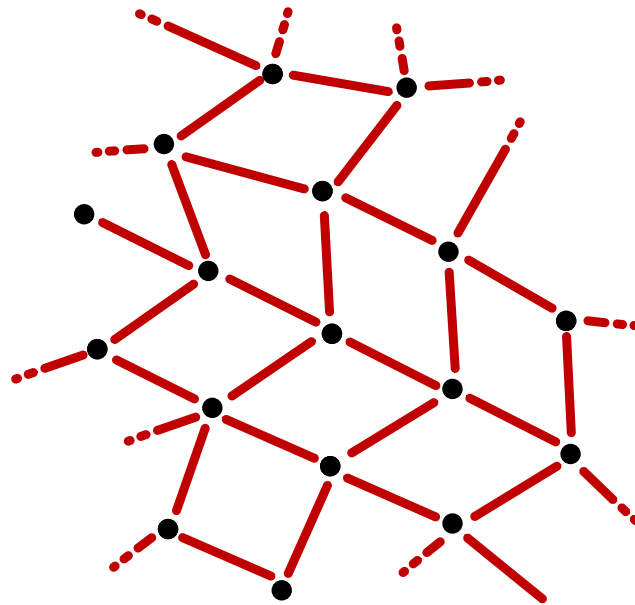
$$\mathbb{E}_v \langle v | H | v \rangle \geq \frac{1}{4} \text{Tr}(H) + \Omega\left(\frac{\text{quad}(H)}{\sqrt{d}}\right)$$

Similar to [Hastings '19, Harrow, Montanaro '17, Barak et al '15]

Result: Local Classical Algorithm

Local Classical Algorithm

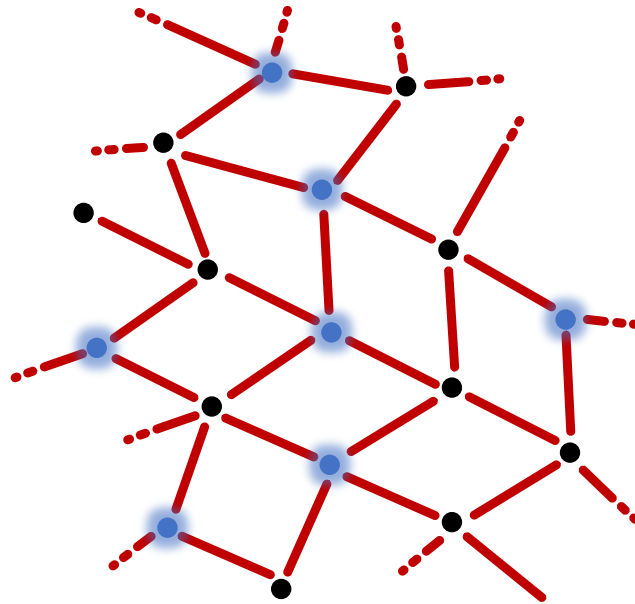
- **Assign i.i.d states to all vertices uniformly at random**



Result: Local Classical Algorithm

Local Classical Algorithm

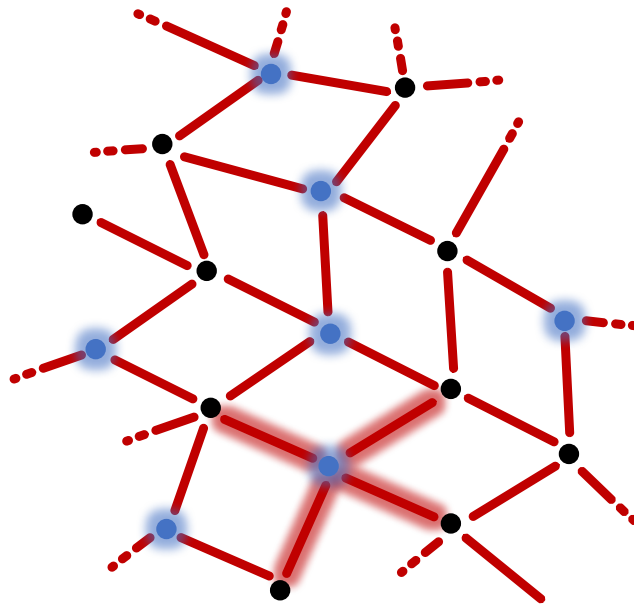
- Assign i.i.d states to all vertices uniformly at random
- Randomly divide vertices into two sets $\{\bullet\}$, $\{\bullet\}$



Result: Local Classical Algorithm

Local Classical Algorithm

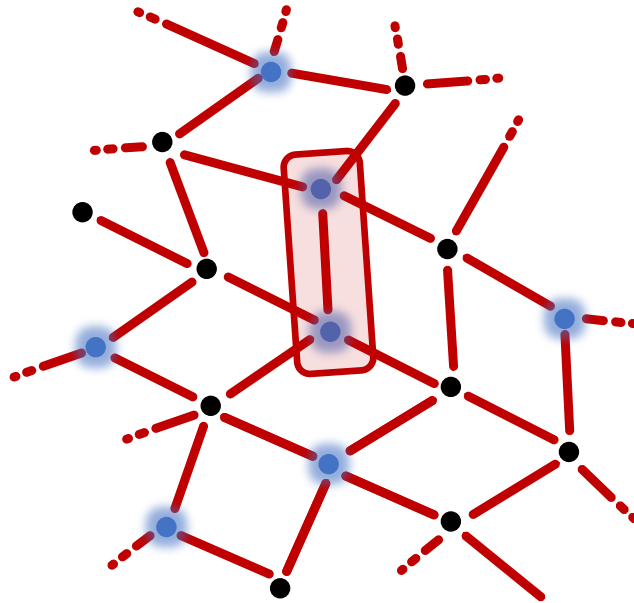
- Assign i.i.d states to all vertices uniformly at random
- Randomly divide vertices into two sets $\{\bullet\}$, $\{\bullet\}$
- Update state of $\{\bullet\}$ based on neighbors in $\{\bullet\}$ to maximize energy



Result: Local Classical Algorithm

Local Classical Algorithm

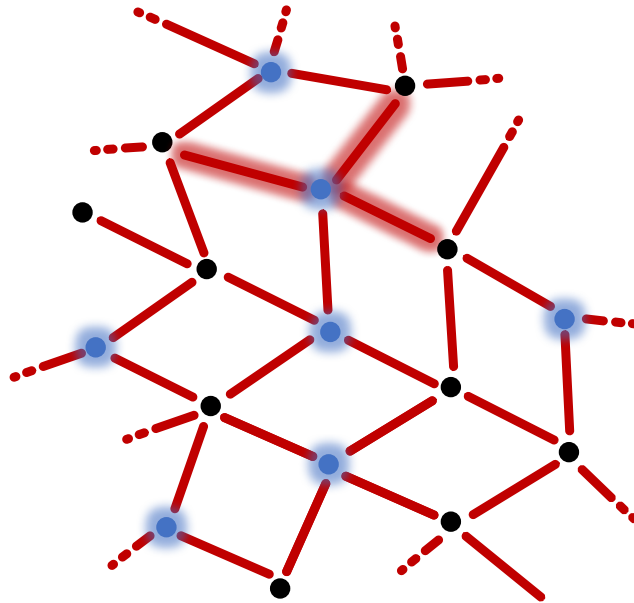
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Result: Local Classical Algorithm

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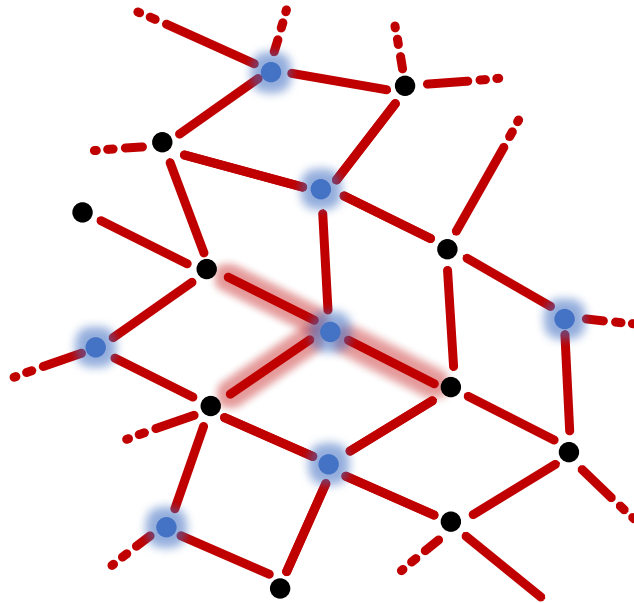
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Result: Local Classical Algorithm

Local Classical Algorithm

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Classical local algorithms may achieve the **same scaling** with product states.

But their output can be **further improved** by our shallow circuit

We also saw

For **locally optimal states**,

$$\langle \psi | H | \psi \rangle \geq \langle v | H | v \rangle + \Omega \left(\frac{\text{Var}_v(H)^2}{d m} \right)$$

Better energy improvement can be achieved with **structured** initial states.

Open Questions

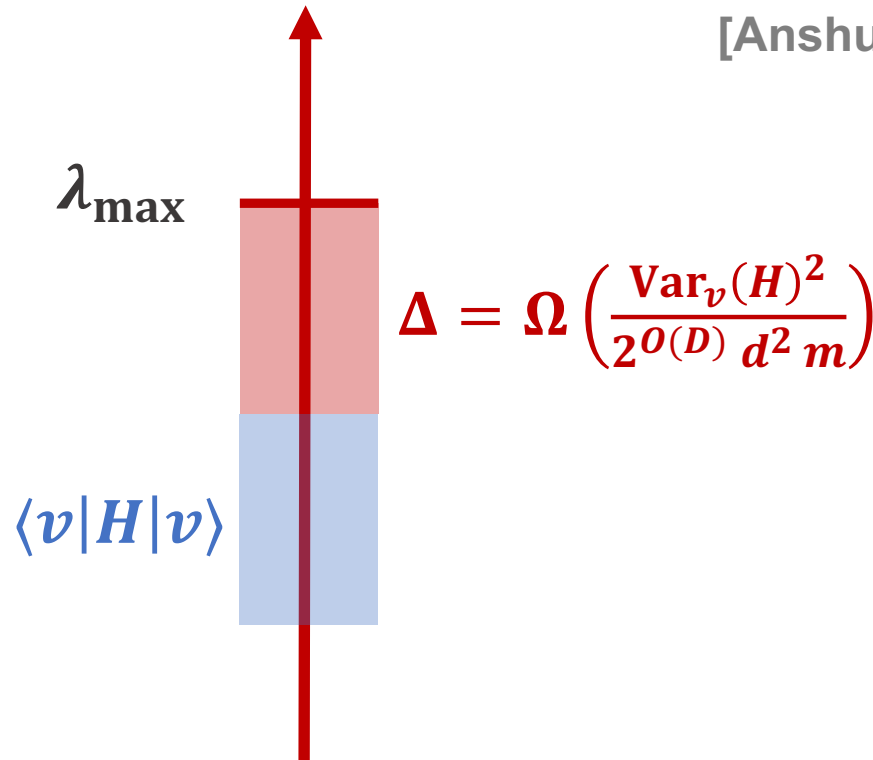
Open questions

- Limitations on energy of states generated with **low-depth circuits**

$$\langle v|H|v\rangle \leq \lambda_{\max} - \Omega\left(\frac{\text{Var}_v(H)^2}{2^{O(D)} d^2 m}\right)$$

Examples of Hamiltonians with almost **NLTS** property?

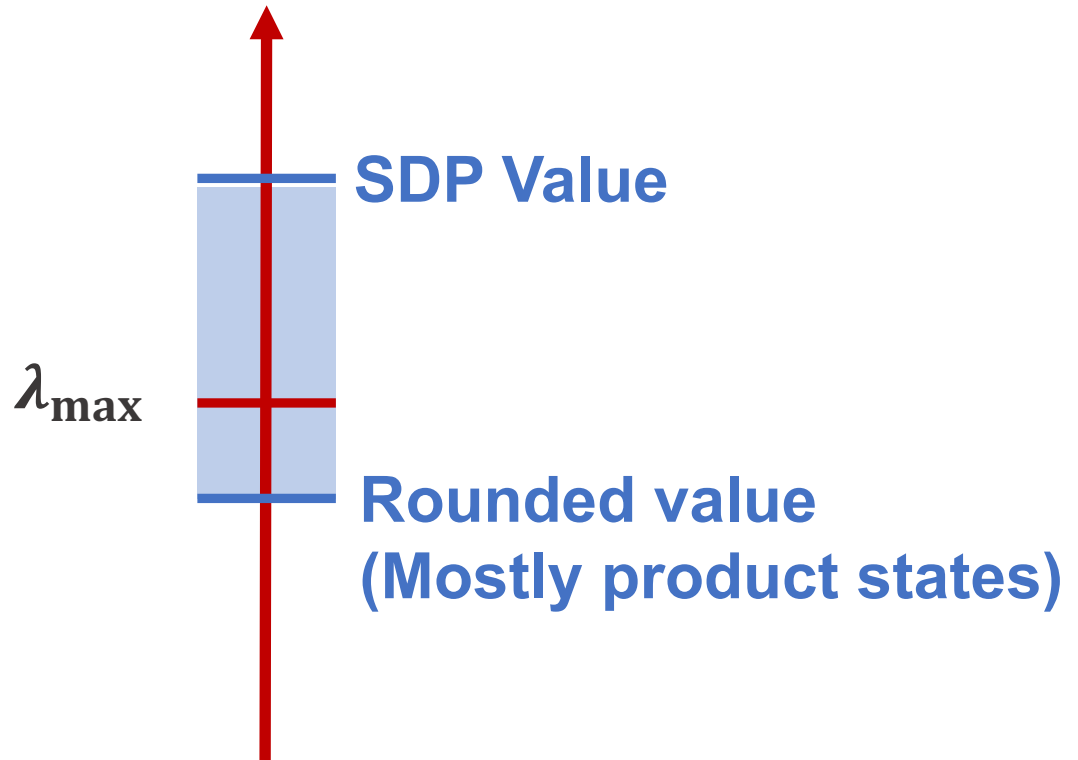
[Anshu, Nirkhe 2021]



Open questions

- **Rounding to entangled states in SDP relaxations?**

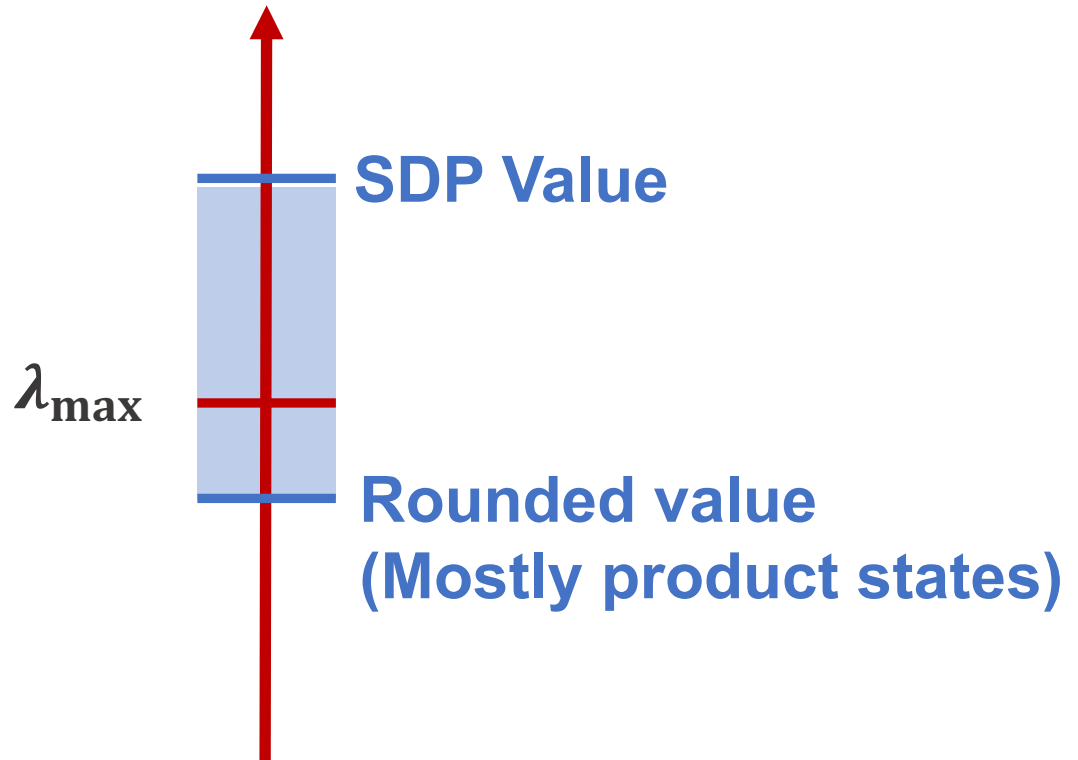
[Parekh, Thompson 2020, Anshu, Gosset, Morenz Korol 2020]



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Open questions

- **Rounding to entangled states in SDP relaxations?**
[Parekh, Thompson 2020, Anshu, Gosset, Morenz Korol 2020]
- **More theoretical study of near-term algorithms for estimating ground-state energy**

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